

NORTHUMBERLAND COUNTY COUNCIL

AUDIT COMMITTEE

**MONITORING REPORT / ACTION LIST
2023-24**

D. Towns, Vice-Chair of Audit Committee
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Updated: 23 January 2024

**NORTHUMBERLAND COUNTY COUNCIL
AUDIT COMMITTEE ACTION LIST**

Ref	Date	Report	Action	To be actioned by	Outcome
1.	28.09.22	Caller Report	Regular updates on progress made to address issues raised from the Caller Report including monitoring progress on the Action Plan and the subsequent recommendations of the Challenge Board. For the item to be placed on the Work Programme.	Executive Director for Resources & Transformation (S151) to update when appropriate.	Last update produced for November 2023 meeting.
2.	28.09.22 29.11.23	Strategic Transformation Programme Business Case BEST – Value for Money	29 November Meeting – Action List Amended to: Remove Strategic Transformation Programme Business Case and replace with BEST update.	Executive Director for Resources & Transformation (S151)	Report to be scheduled for January 2024 meeting
3.	26.07.23	International Lessons Learned Review	Report received by the Committee in July 23. Audit Committee will receive a further progress report in six months' time.	Executive Director for Resources & Transformation (S151).	Report scheduled for January 2024 meeting.
4	27.09.23	Member Request	Report on past dismissals of statutory officers.	Head of Internal Audit & Risk Management	
5	27.09.23	Member Request	Further report on the legality of exit payments.	Exec Director of Transformation and Resources (S151) and Director of Law & Governance (MO)	

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6.	27.09.23	Member Request	Report on workforce issues including exit interviews.	Head of Workforce & OD	
7.	27.09.23	Review of External Inspection Reports – Adult Social Care and Children’s Services	Future reports to include a longer history of CQC gradings within adult social care settings.	A. Curry, Senior Manager – Commissioning	Officer to include in future reports.
8.	29.11.23	Governance Review – update on Continued Progress	Query regarding member development and IT issues.	Director of Law & Governance (MO)	S. Gerrard to discuss with Cllr Dale.
9.	29.11.23	Strategic Audit Plan 2023/24 - Interim Monitoring Statement	Query regarding Borderlands Project.	Exec Director of Transformation and Resources (S151)	J. Willis to collate information for Cllr Dale.

WORK PROGRAMME

ISSUE
31 January 2024
Internal Audit, Risk Management & Corporate Fraud Preparation of the Strategic Audit Plan 2024/25 Update on Internal Audit work in relation to active group entities Corporate Risk Management Update Outcomes from the External Quality Assessment of the Internal Audit Service
Corporate Finance Statement of Accounts 2023/24 - Timetable and Policies Changes to the Code of Practice for Local Authority Accounting in the UK 2023/24 Treasury Management Strategy Statement 2024/25 International Lessons Learned Review 6-month update Best Value for money Workstream Progress Report
External Audit Audit Progress Report
Reports from Other Service Areas
Reports previously deferred Regulation of Investigatory Powers Act 2000 (RIPA) Policy
27 March 2024

Updated: 23 January 2024

Internal Audit, Risk Management & Corporate Fraud

Counter Fraud Update
Risk Management Update Report
Strategic Audit Plan 2024/25
Annual Audit Committee Work Programme
Annual Review of Audit Committee Effectiveness
Update on Internal Audit work in relation to active group entities

Corporate Finance

Group Boundary Review 2023/24
Annual Governance Statement 2023/24
Northumberland County Council – Consideration of ‘Going Concern Status’ for the Statement of Accounts for the year ended 31 March 2024

External Audit

Audit Progress Report

Reports from Other Service Areas

Education & Safeguarding Performance – Review of External Inspection Reports

29 May 2024

Internal Audit, Risk Management & Corporate Fraud

Corporate Finance

External Audit

Reports from Other Service Areas

MEETING DATES TO BE CONFIRMED/SCHEDULED

- Regular updates on progress made to address issues raised from the Caller Report including monitoring the Action Plan.
- Examine the Strategic Transformation Programme Business Case
- Monitor any impacts from recent changes within Adult Social Care and wider NHS changes where/when appropriate.